

**The Randomized Complexity of  
Maintaining the Minimum**

**Gerth Stølting Brodal**

BRICS, Aarhus University, Denmark

**Shiva Chaudhuri**

Max-Planck-Institut für Informatik, Saarbrücken, Germany

**Jaikumar Radhakrishnan**

Tata Institute of Fundamental Research, Mumbai, India

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## The FINDMIN problem

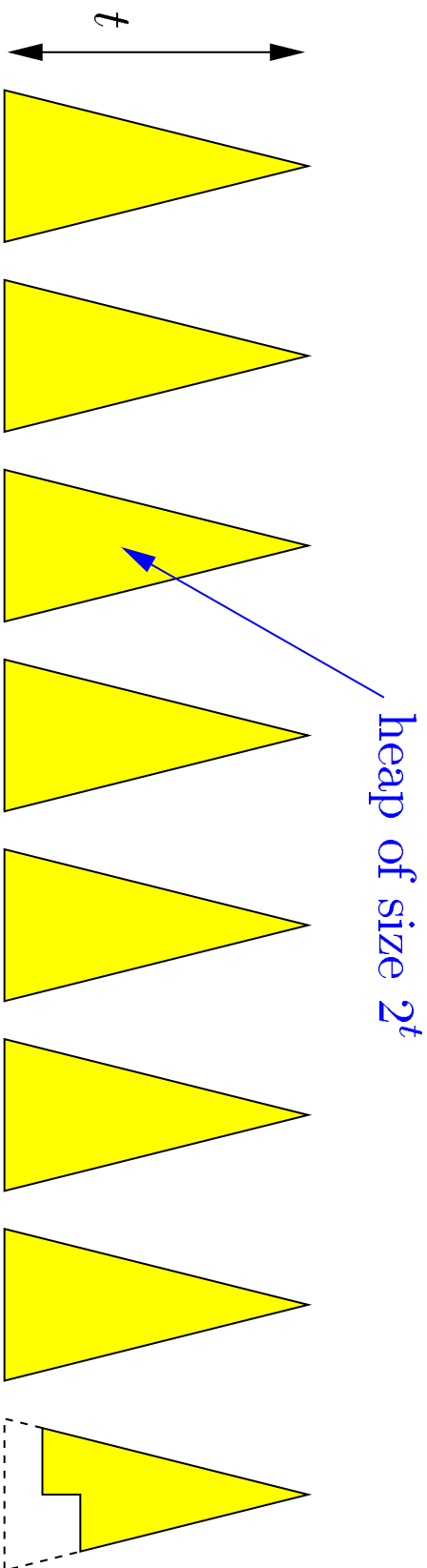
- Ordered universe  $\{a_1, \dots, a_n\}$ .
- Data structure:
  - **INS**, insert an element,
  - **DEL**, delete an element (given a pointer),
  - **FINDMIN**, return the current minimum.
- Input: Sequence of operations
  - **Ex.:**  $\text{INS}(a_1)\text{INS}(a_5)\text{INS}(a_8)\text{DEL}(a_5)\text{FINDMIN}$ ,
  - on-line,
  - comparison model,
  - worst-case cost.

## Different solutions

	INS	DEL	FINDMIN
Double linked list	1	1	$n$
Heap	$\log n$	$\log n$	1
Search trees	$\log n$	1	1
Priority queues	1	$\log n$	1
By symmetry ?	1	1	$\log n$

Sorting  $\Rightarrow$  one of the operations must cost  $\Omega(\log n)$ .

## A simple data structure



- INS and DEL cost  $O(t)$
- FINDMIN costs  $O\left(\frac{n}{2^t}\right)$

## The FINDANY problem

- Data structure:
  - **INS**, **DEL**,
  - **FINDANY**, return an arbitrary element and its current rank.
- Not harder than the FINDMIN problem.
- **Sorting**  $\Rightarrow$  one of the operations must cost  $\Omega(\log n)$ .

## Lower bounds

**Theorem.** For any deterministic data structure with cost for INS and DEL at most  $t$ , the cost of FINDANY is

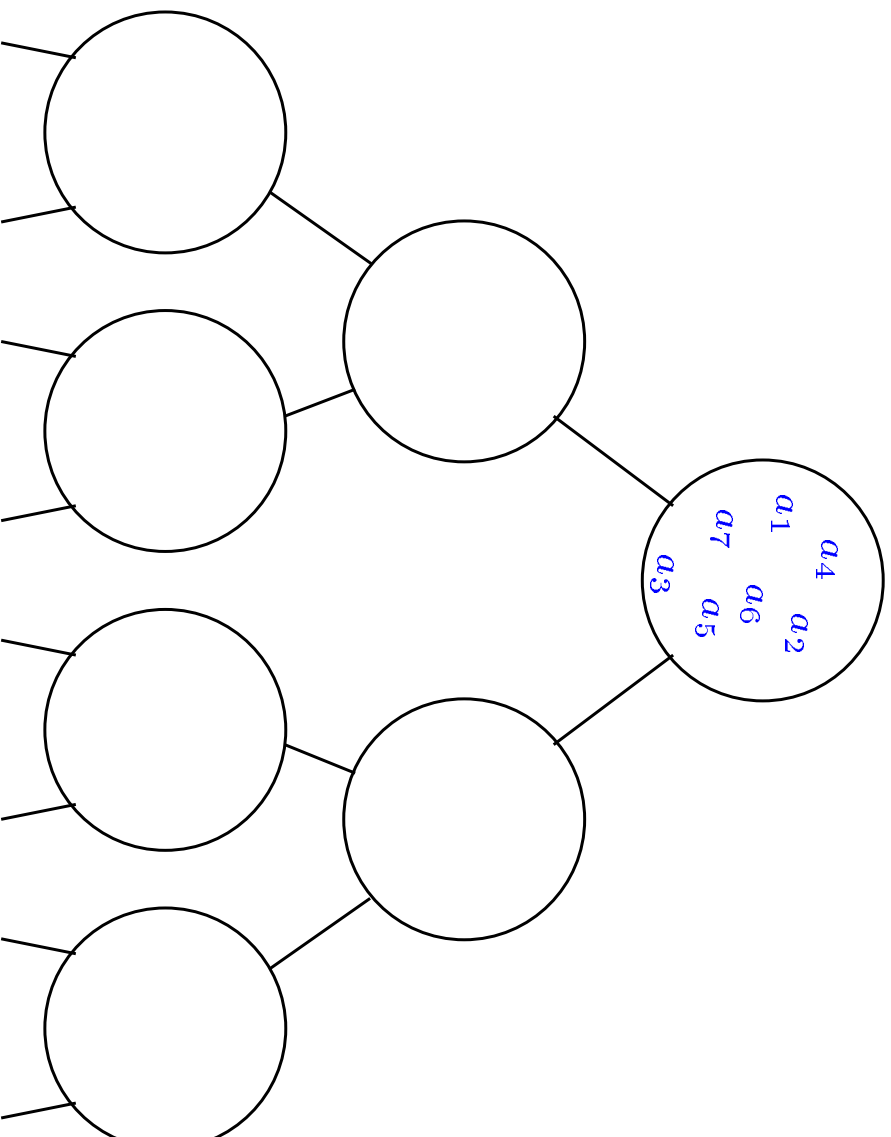
$$\geq \frac{n}{2^{4t+3}} - 1.$$

**Theorem.** For any randomized data structure with expected cost for INS and DEL at most  $t$ , the expected cost of FINDMIN is

$$\geq \frac{n}{e^{2^{2t}}} - 1.$$

## An adversary strategy for FINDANY

Infinite binary tree. Initially, all elements are in the root.



- Adversary answers consistently.
- If  $v, w$  are on the same path from the root, then  $v, w$  are incomparable in the algorithms poset.
- A comparison pushes  $\leq 2$  elements one level down.
- Consider  $\text{INS}(a_1) \cdots \text{INS}(a_n) \text{DEL}(b_1) \cdots \text{DEL}(b_n)$  where  $b_i$  is the element that would have been returned by `FINDANY`.
- Assign  $b_i$  to the node where it is deleted.
- If  $k$  elements are deleted at some node and  $b_m$  the first among them, then replacing `DEL`( $b_m$ ) by `FINDANY` takes at least  $k - 1$  comparisons.
- Sum of the depths of  $b_1, \dots, b_n$  is  $\leq 2 \cdot 2tn = 4tn$   
 $\Downarrow$   
 there exists a node where  $\frac{n}{2^{4t+3}}$  elements were deleted.



## An explicit sorting adversary

When the elements are sorted, each element must lie in a distinct leaf.

⇕

Sum of the depths of the nodes  $\geq n \log n$ .

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Number of comparisons  $\geq \frac{n \log n}{2}$ .