

Light Dialectica program extraction from a classical Fibonacci proof

- using an optimization of Gödel's technique towards the extraction of more efficient programs from classical proofs –

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Outline

1 The program–extraction Problem

- Specifying the wanted behaviour of programs
- A weakly extensional Arithmetic for Gödel functionals

2 The Light Functional “Dialectica” Interpretation

- From Gödel’s Dialectica to the Light Dialectica
- The Contraction Problem

3 Conclusions and Further Work

- Applicability of Light Dialectica ??? Open research ...

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Why $\forall x \exists y G(x, y)$ specifications ? [$G \equiv$ Goal Formula]

- Specifications describe wanted behavior for our Program.
- Programs have inputs – x and outputs – y .
- Therefore specifications are formulas $\forall x \exists y G(x, y)$ where
- $G(x, y)$ is a formula describing the desired relationship between the given input x and the desired output y .
- Exists proof \mathcal{P} of $\forall x \exists y G(x, y)$ in some logical system \mathcal{S} .
- We want to be able to *uniformly* produce by an Algorithm a program t which *realizes* the given specification, i.e., $\forall x G(x, t(x))$ is provable in some (other) logical system \mathcal{S}' .
- Such Algorithms taking inputs \mathcal{P} are *Program Extraction procedures* and the **term** t is called *extracted program*.

Classical versus Constructive proofs

Recall that \mathcal{P} is a proof of $\forall x \exists y G(x, y)$ in the logical system \mathcal{S} !

- G can be arbitrary only when \mathcal{S} is constructive, otherwise ...
- *Constructive* means *intuitionistic* plus **Markov's Principle** :

$$\neg\neg\exists z G_0(z) \rightarrow \exists z G_0(z) \quad \text{or} \quad \underbrace{\exists^{cl} z G_0(z)}_{\neg\neg\forall z \neg} \rightarrow \exists z G_0(z)$$

- Only extraction techniques based on Gödel's **Dialectica** interpretation allow Markov's Principle (**as axiom**) in \mathcal{S} .
- *Refined A–translation* first brings the *Minimal Logic* proof [*minimal* \equiv intuitionistic $\setminus \perp \rightarrow F$] of $\forall x \exists^{cl} y G(x, y)$ to a corresponding intuitionistic proof of $\forall x \exists y G(x, y)$
- Kreisel's **Modified Realizability** applies to the latter.
- G can be a **goal formula** – i.e., **more than** quantifier-free.

The term system – a lambda-variant of Gödel's T

0) All finite types generated from ι and o by the rule $\sigma, \tau \mapsto (\sigma\tau)$

1) tt^o, ff^o , the selector $\mathbf{If}_\tau^{o\tau\tau\tau}$ (usual *if-then-else*), equality $=^{\iota\iota o}$

2) 0^ι (zero), S^ι (successor) and Gödel's recursor $\mathbf{R}_\tau^{\tau(\iota\tau\tau)\iota\tau}$

3) $\text{And}^{ooo} := \lambda p, q. \mathbf{If}_o p q \text{ff}$ $\text{Imp}^{ooo} := \lambda p, q. \mathbf{If}_o p q \text{tt}$

4) the n -selector \mathbf{If}_τ^n of type $\overbrace{o \dots o}^n \overbrace{\tau \dots \tau}^n \tau\tau$, s.t. $\mathbf{If}_\tau^1 := \mathbf{If}_\tau$ and

$\mathbf{If}_\tau^n := \lambda p_1, \dots, p_n, x_{n+1}, x_n, \dots, x_1. \mathbf{If}_\tau p_1 (\mathbf{If}_\tau^{n-1} p_2 \dots p_n x_{n+1} x_n \dots x_2) x_1$

$\mathbf{If}_\tau^n(r_1, \dots, r_n, t_{n+1}, t_n, \dots, t_1)$ selects the first t_i with $i \in \overline{1, n}$ for which r_i is false, if it exists, otherwise t_{n+1} – if all $\{r_i\}_{i=1}^n$ are true

5) $s =_{\sigma_1 \dots \sigma_n \rightarrow \sigma} t := \forall x_1^{\sigma_1} \dots x_n^{\sigma_n} (s x_1 \dots x_n =_\sigma t x_1 \dots x_n)$, $\sigma \in \{o, \iota\}$

$s =_o t := \text{at}(s) \leftrightarrow \text{at}(t)$, $s =_\iota t := \text{at}(= s t)$ — *extensionally defined*

equality – at is the *unique* predicate symbol of WE-Z

The logical axioms and rules of system WE-Z (1/2)

$$0) \quad \text{Ax}\exists^+ : \forall z_1 [A(z_1) \rightarrow \exists z_2 A(z_2)]$$

$$\text{Ax}\bar{\exists}^+ : \bar{\forall} z_1 [A(z_1) \rightarrow \bar{\exists} z_2 A(z_2)]$$

$\text{Ax}\text{EFQ} : \perp \rightarrow A$ <p>(Ex-Falso-Quodlibet)</p>

$$\text{Ax}\exists^- : \exists z_1 A(z_1) \wedge \forall z_2 [A(z_2) \rightarrow B] \rightarrow B$$

$$\text{Ax}\bar{\exists}^- : \bar{\exists} z_1 A(z_1) \wedge \bar{\forall} z_2 [A(z_2) \rightarrow B] \rightarrow B$$

1) Deduction from (arbitrary, undischarged) assumption: $A \vdash A$

$$2) \quad \frac{A \wedge B}{A} \wedge_l^-, \quad \frac{A \wedge B}{B} \wedge_r^-, \quad \frac{A, B}{A \wedge B} \wedge^+, \quad \frac{A, A \rightarrow B}{B} \rightarrow^-$$

$$3) \quad \left[\frac{\bar{\forall} z A(z)}{A(t)} \bar{\forall}_{z,t}^-, \quad \frac{\forall z A(z)}{A(t)} \forall_{z,t}^-, \quad \boxed{\text{VC}_2(z, t)}, \quad \frac{A(z)}{\forall z A(z)} \forall_z^+ \boxed{\text{VC}_1(z)} \right]$$

$\text{VC}_1(z)$: z does not occur free in any undischarged assumption

$\text{VC}_2(z, t)$: no free variable of t gets quantified in A after substit.

The logical axioms and rules of system $WE-Z$ (2/2)

$$4) \frac{[A] \dots / B}{A \rightarrow B} \rightarrow^+ , \text{ particular set of instances of } A \text{ discharged};$$

if at least two A get discharged (**contraction**) then $\boxed{\text{ncm-FC}(A)}$ restriction applies: “if A contains (at least) a positive universal or a negative existential (regular) quantifier then A *must not* contain any ncm quantifier ($\bar{\exists}, \bar{\forall}$)” – case when we say that A is *computationally relevant* (otherwise A is *comput. irrelevant*)

$$5) \frac{\mathcal{P}: A(z)}{\bar{\forall}z A(z)} \bar{\forall}_z^+ \boxed{\text{VC}_1(z)} \text{ and } \text{VC}_3(z, \mathcal{P}) := z \text{ is not free in any of the } t$$

involved by $\forall_{\bullet, t}^-$ in the proof \mathcal{P} (Berger) **and** z is also not free in the computationally relevant *contraction formulas* of \mathcal{P}

Extensionality/Compatibility and Induction rules

$E_{\sigma, \tau} : \forall z^{\sigma\tau}, x^{\sigma}, y^{\sigma}. x =_{\sigma} y \rightarrow zx =_{\tau} zy$ – must be forbidden

A_0

COMPAT $_{\sigma}$ – with the restriction that

\vdots

all undischarged assumptions used

$s =_{\sigma} t$

in the proof of $s =_{\sigma} t$ (here denoted A_0)

$B(s) \rightarrow B(t)$

are quantifier-free

\emptyset

\emptyset

IR $_0$ – equivalent to IA, IR in WE-Z $^{-}$

\vdots

\vdots

$A(\text{tt}) \wedge A(\text{ff}) \rightarrow \forall p^{\circ} A(p)$

$A(0) \quad \forall z (A(z) \rightarrow A(Sz))$

(Boolean Induction Axiom)

$\forall z A(z)$

$$\left. \begin{array}{l} \mathbf{R}_{\tau} x y 0 =_{\tau} x \\ \mathbf{R}_{\tau} x y (Sz) =_{\tau} y(z, \mathbf{R}_{\tau} x y z) \end{array} \right\} : \mathbf{A} \times \mathbf{R}_{\tau}$$

Weakly extensional Arithmetics $WE-Z$, $WE-Z^-$, $WE-Z^+$

System $WE-Z^-$ obtained from $WE-Z$ by ignoring “ncm” quantifiers

0) Prime formulas **decidable**: $\vdash_{-} at(t) \vee \neg at(t)$ **by definition** of \vee

1) Exists unique bijective association of boolean terms to **qfr** formulas $A_0 \mapsto t_{A_0}$ such that $\vdash_{-} A_0 \leftrightarrow at(t_{A_0})$

2) *Case Distinction* over **qfr** formulas:

$$\vdash_{-} (A_0 \rightarrow A) \wedge (\neg A_0 \rightarrow A) \rightarrow A$$

3) *Disjunction Elimination* $\vdash_{-} \bigwedge_{i=1}^n (A_i \rightarrow B) \rightarrow (\bigvee_{i=1}^n A_i \rightarrow B)$

System $WE-Z^+$ obtained by adding to $WE-Z$ the following:

$$AxMK : \exists^{cl} z A_0(z) \rightarrow \exists z A_0(z)$$

$$AxIP_{\forall} : [\forall x A_0(x) \rightarrow \exists y B(y)] \rightarrow \exists y [\forall x A_0(x) \rightarrow B(y)]$$

$$AxAC : \forall x \exists y B(x, y) \rightarrow \exists Y \forall x B(x, Y(x))$$

Gödel's functional “Dialectica” interpretation

- 0) A translation of proofs which includes a translation of formulas.
- 1) $A(\underline{a}) \mapsto A^D \equiv \exists \underline{x} \forall \underline{y} A_D(\underline{x}; \underline{y}; \underline{a})$ with \underline{a} all free vars of formula A
- 2) A_D is **qfr** for Gödel's Dialectica, **not necess** for **Light Dialectica**
- 3) Recursive syntactic translation from proofs in Constructive Arithmetic (or Classical Arithmetic, modulo the double-negation translation) to proofs in Intuitionistic Arithmetic such that **positive** occurrences of \exists and **negative** occurrences of \forall in the proof's conclusion formula get actually realized by terms in Gödel's **T**.
- 4) Contraction Problem: choose between a number of realizers according to a boolean term associated to the contraction formula;
Diller-Nahm: postpone all choices to the very end by collecting all candidates and making a single final global choice;
Monotone (or Bounded) Dialectica: use a Howard (or Bezem) majorant of the candidates \Rightarrow extract **majorants** for the realizers.

The Light Dialectica interpretation of formulas

$$A^{\mathbf{D}} \equiv (A_{\mathbf{D}} : \equiv A) \text{ for prime formulas } A$$

$$(A \wedge B)^{\mathbf{D}} \equiv \exists \underline{x}, \underline{u} \forall \underline{y}, \underline{v} [(A \wedge B)_{\mathbf{D}} : \equiv A_{\mathbf{D}}(\underline{x}; \underline{y}; \underline{a}) \wedge B_{\mathbf{D}}(\underline{u}; \underline{v}; \underline{b})]$$

$$(A \rightarrow B)^{\mathbf{D}} \equiv \exists \underline{Y}, \underline{U} \forall \underline{x}, \underline{v} [(A \rightarrow B)_{\mathbf{D}} : \equiv A_{\mathbf{D}}(\underline{x}; \underline{Y}(\underline{x}, \underline{v})) \rightarrow B_{\mathbf{D}}(\underline{U}(\underline{x}); \underline{v})]$$

$$(\exists z A(z, \underline{a}))^{\mathbf{D}} \equiv \exists z^{\dagger}, \underline{x} \forall \underline{y} [(\exists z A(z, \underline{a}))_{\mathbf{D}}(z^{\dagger}, \underline{x}; \underline{y}; \underline{a}) : \equiv A_{\mathbf{D}}(\underline{x}; \underline{y}; z^{\dagger}, \underline{a})]$$

$$(\bar{\exists} z A(z, \underline{a}))^{\mathbf{D}} \equiv \exists \underline{x} \forall \underline{y} [(\bar{\exists} z A(z, \underline{a}))_{\mathbf{D}}(\underline{x}; \underline{y}; \underline{a}) : \equiv \exists z A_{\mathbf{D}}(\underline{x}; \underline{y}; z, \underline{a})]$$

$$(\forall z A(z, \underline{a}))^{\mathbf{D}} \equiv \exists \underline{X} \forall z^{\dagger}, \underline{y} [(\forall z A(z, \underline{a}))_{\mathbf{D}}(\underline{X}; z^{\dagger}, \underline{y}; \underline{a}) : \equiv A_{\mathbf{D}}(\underline{X}(z^{\dagger}); \underline{y}; z^{\dagger}, \underline{a})]$$

$$(\bar{\forall} z A(z, \underline{a}))^{\mathbf{D}} \equiv \exists \underline{x} \forall \underline{y} [(\bar{\forall} z A(z, \underline{a}))_{\mathbf{D}}(\underline{x}; \underline{y}; \underline{a}) : \equiv \forall z A_{\mathbf{D}}(\underline{x}; \underline{y}; z, \underline{a})]$$

Here $\cdot \mapsto \cdot^{\dagger}$ is a mapping which assigns to every given variable z a completely new variable z^{\dagger} which has the same type of z .

Exact realizer synthesis by the **LD**-interpretation

Extraction and Soundness Theorem: There exists an algorithm which, given at input a proof $\mathcal{P} : \{C^i\}_{i=1}^n \vdash_+ A$ will produce at output

- 1) the tuples of terms T and $\{T_i\}_{i=1}^n$
- 2) the tuples of variables $\{x_i\}_{i=1}^n$ and y
- 3) the verifying proof

$$\mathcal{P}_D : \{C_D^i(x_i; T_i(\underline{x}, y))\}_{i=1}^n \vdash_- A_D(T(\underline{x}); y)$$

– where $\underline{x} := x_1, \dots, x_n$. Moreover,

- 1 variables \underline{x} and y are all completely new (not occur in \mathcal{P})
- 2 the free variables of T and $\{T_i\}_{i=1}^n$ are among the free variables of A and $\{C^i\}_{i=1}^n$ (“the *free variable condition (FVC)* for programs extracted by the **(L)D**-interpretation”)

[$\Rightarrow \underline{x}, y$ not occur free in the *extracted* terms $\{T_i\}_{i=1}^n$ and T]

Implication Introduction with Contraction

$$\boxed{\frac{[A] \dots / B}{A \rightarrow B} \rightarrow^+} \quad n \geq 1, \quad \underline{z} \equiv \overbrace{z, \dots, z}^{n+1} \quad \text{and} \quad \underline{x} \equiv x_{n+2}, \dots, x_m :$$

$$\{A_{\mathbf{D}}(z; T_i(\underline{z}, \underline{x}, y))\}_{i=1}^{n+1}, \{C_{\mathbf{D}}^i(x_i; T_i(\underline{z}, \underline{x}, y))\}_{i=n+2}^m \vdash_{-} B_{\mathbf{D}}(T(\underline{z}, \underline{x}); y)$$

1) Same tuple z produced by $n + 1 \leq m$ discharged instances of A

2) $\text{ncm-FC}(A) \implies$ tuples $\{T_i\}_{i=1}^{n+1}$ are all of length 0 **or** $A_{\mathbf{D}}$ is **qfr**

3) If $\{T_i\}_{i=1}^{n+1}$ non-null \implies their *equalization* is a must:

$$\mathbf{S} := \lambda \underline{x}, z, y. \text{If}_{\tau}^n (\iota_A^{\mathbf{D}}[z; T^1], \dots, \iota_A^{\mathbf{D}}[z; T^n], T_{n+1}(\underline{z}, \underline{x}, y), T^n, \dots, T^1)$$

we can now cancell all $\{A_{\mathbf{D}}\}_{i=1}^{n+1}$ by a single \rightarrow^+ in the verifying proof

$$\{A_{\mathbf{D}}(z; \mathbf{S}(\underline{x}, z, y))\}_{i=1}^{n+1}, \{C_{\mathbf{D}}^i(x_i; S_i(\underline{x}, z, y))\}_{i=n+2}^m \vdash_{-} B_{\mathbf{D}}(S(\underline{x}, z); y)$$

$$\{C_{\mathbf{D}}^i(x_i; S_i(\underline{x}, z, y))\}_{i=n+2}^m \vdash_{-} A_{\mathbf{D}}(z; \mathbf{S}(\underline{x}, z, y)) \rightarrow B_{\mathbf{D}}(S(\underline{x}, z); y)$$

Computationally-redundant Contraction - an example

Warning: A and B are here the same as on the previous slide !!!

$$\boxed{\frac{A, A \rightarrow B}{B} \rightarrow -} \quad \{C_{\mathbf{D}}^i(\underline{x}'_i; S_i(\underline{x}', y'))\}_{i=1}^{n+1} \vdash_{-} A_{\mathbf{D}}(S'(\underline{x}'); y')$$

$$\{C_{\mathbf{D}}^i(\underline{x}''_i; S_i(\underline{x}'', z, y))\}_{i=n+2}^m \vdash_{-} A_{\mathbf{D}}(z; \mathbf{S}(\underline{x}'', z, y)) \rightarrow B_{\mathbf{D}}(S(\underline{x}'', z); y)$$

$$T_i \equiv \begin{cases} \lambda \underline{x}, y. S_i(\underline{x}', \mathbf{S}(\underline{x}'', S'(\underline{x}'), y)) , & \text{if } 1 \leq i \leq n + 1 \\ \lambda \underline{x}, y. S_i(\underline{x}'', S'(\underline{x}'), y) & , \text{if } n + 1 < i \leq m \end{cases}$$

$$T \equiv \lambda \underline{x}. S(\underline{x}'', S'(\underline{x}'))$$

Extraction and Soundness Theorem:

$$\{C_{\mathbf{D}}^i(\underline{x}_i; T_i(\underline{x}, y))\}_{i=1}^m \vdash_{-} B_{\mathbf{D}}(T(\underline{x}); y)$$

Contraction involved by usual Induction Rule (worst)

We are given $\mathcal{P}_b : C_b \vdash A(0)$ and $\mathcal{P}_s : C_s \vdash \forall z(A(z) \rightarrow A(sz))$

$$\frac{C_s \quad \frac{C_b \quad C_b \rightarrow (C_s \rightarrow A(z))}{C_s \rightarrow A(z)} \rightarrow^-}{A(z)} \rightarrow^- \quad \frac{\left. \begin{array}{c} C_s \\ \vdots \\ C_s \end{array} \right\} \mathcal{P}_s \quad \frac{\forall z(A(z) \rightarrow A(sz))}{A(z) \rightarrow A(sz)} \forall^-}{A(sz)} \rightarrow^-$$

first cancel C_s (with contraction) and subsequently C_b (without contraction) to get $C_b \rightarrow (C_s \rightarrow A(z)) \vdash C_b \rightarrow (C_s \rightarrow A(sz)) \implies \emptyset \vdash \forall z[(C_b \rightarrow (C_s \rightarrow A(z))) \rightarrow C_b \rightarrow (C_s \rightarrow A(sz))]$ by \emptyset -premised Induction Rule that $\emptyset \vdash \forall z(C_b \rightarrow (C_s \rightarrow A(z)))$ from which we recover the usual conclusion $C_b, C_c \vdash \forall z A(z)$

Clear-cut practical example - classical Fibonacci

MINLOG program extracted by **Light** Dialectica (after normalization)

$$[n_0] \pi_1 (\mathbf{R}_{\mathbb{N} \rightarrow (\mathbb{N} \otimes \mathbb{N})} (0 @ 1) \{ [n_1, p^{\mathbb{N} \otimes \mathbb{N}}] \pi_2 (p) @ (\pi_1 (p) + \pi_2 (p)) \} n_0)$$

Exactly the usual algorithm computing the n -th Fibonacci number.

$$\begin{aligned} & [G, n_1] \pi_1 \pi_2 (\mathbf{R}_{\mathbb{N} \Rightarrow \mathbb{N} @ (\mathbb{N} \otimes \mathbb{N}) @ (\mathbb{N} \otimes \mathbb{N})} ((0 @ 0 @ 0) @ 0 @ 1) \{ [n_2, p] [\mathbf{If} [\mathbf{If} (G \pi_1 \pi_1 (p)) \pi_1 \pi_2 \pi_1 (p)) \\ & [\mathbf{If} (G (S \pi_1 \pi_1 (p))) \pi_2 \pi_2 \pi_1 (p)) (G (S (S \pi_1 \pi_1 (p)))) (\pi_1 \pi_2 \pi_1 (p) + \pi_2 \pi_2 \pi_1 (p))] \mathbf{tt}] \mathbf{tt}] \\ & (n_2 @ \pi_2 (p)) (\pi_1 (p))] @ \pi_2 \pi_2 (p) @ \pi_1 \pi_2 (p) + \pi_2 \pi_2 (p) \} n_1) \quad \textbf{This by Gödel's Dialectica} \\ & \textbf{If-tests are only due to the Contraction formula, integrated in extracted program} \end{aligned}$$

The BBS Refined A-translation yields a more complex program:

$$\begin{aligned} & [n] \mathbf{R}_{\mathbb{N} \rightarrow (\mathbb{N} \rightarrow \mathbb{N} \rightarrow \mathbb{N}) \rightarrow \mathbb{N}} \quad ([f^{\mathbb{N} \rightarrow \mathbb{N} \rightarrow \mathbb{N}}] f 0 1) \\ & \quad ([m, \mathbf{H}, g^{\mathbb{N} \rightarrow \mathbb{N} \rightarrow \mathbb{N}}] \mathbf{H} ([p, q] \mathbf{H} ([k, l] g l (k + l)))) \quad n \quad ([k, l] k) \end{aligned}$$

– uses the type-2 functional $\mathbf{H}^{(\mathbb{N} \rightarrow \mathbb{N} \rightarrow \mathbb{N}) \rightarrow \mathbb{N}}$, within the recursion

Attempts to apply Light Dialectica - a negative result

Dickson-2-2 Lemma: “For any two functions $f, g : \mathbb{N} \mapsto \mathbb{N}$ defined within the set of natural numbers \mathbb{N} there exist indexes $i < j$ such that both $f(i) \leq f(j)$ and $g(i) \leq g(j)$.”

Classical proof within Minimal Arithmetic uses **3 times the Minimum Principle** (relative to unary predicate variable $Q^{\mathbb{N}}$):

$$\forall h^{\mathbb{N} \rightarrow \mathbb{N}}. \exists^{cl} z Q(z) \rightarrow \exists^{cl} x. (\forall y. h(y) < h(x) \rightarrow \neg Q(y)) \wedge Q(x)$$

Not possible to use an “ncm” version of $\exists^{cl} x$ in none of the **3 instances of Minimum Principle** ... but x is positive universal quantified in a Contraction formula (thus *computationally relevant*) ... \Rightarrow ... **impossible to avoid any of the 3 contractions.**

These contractions are involved by **Induction** and the realizing program **must** contain 3 times Gödel’s recursor \mathbf{R}_τ (as iterator).

Short List of related Papers I



M.-D. Hernest and U. Kohlenbach.

A complexity analysis of functional interpretations.

Theoretical Computer Science, 338(1-3):200–246, 2005.



M.-D. Hernest.

A comparison between two techniques of program extraction from classical proofs.

In M. Baaz, J. Makovsky, and A. Voronkov, editors, *CSL 2003: Extended Posters*, vol. VIII of *Kurt Gödel Society's Collegium Logicum*, pp. 99–102. Springer Verlag, 2004.



U. Kohlenbach and P. Oliva.

Proof mining: a systematic way of analysing proofs in Mathematics.

Proc. of the Steklov Inst. of Mathem., 242:136–164, 2003.

Short List of related Papers II



M.-D. Hernest, “Light Functional Interpretation”,
LNCS **3634** (2005), pp. 477 – 492, CSL 2005.



U. Berger, W. Buchholz, and H. Schwichtenberg.
Refined program extraction from classical proofs.
Annals of Pure and Applied Logic, 114:3–25, 2002.



C. Raffalli.

Getting results from programs extracted from classical proofs.
Theoretical Computer Science, 323(1-3):49–70, 2004.



C. Paulin-Mohring and B. Werner.

Synthesis of ML programs in the system Coq.
Journal of Symbolic Computation, 15(5/6):607–640, 1993.